No.



9200171

TO ALL TO WHOM THESE PRESENTS SHALL COME: Northrup King Co.

TUltereas, there has been presented to the

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART Hereof, and the various requirements of LAW in such cases made and provided have BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(8) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLI-CANT(S) FOR THE TERM OF eighteen YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EX-CLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, IMPORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT TY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT F. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'S28-01'

In Testimony Winercot, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C.

this 30th day of September the year of our Lord one thousand nine hundred and ninety-four.

Plant Variety Protection Office Agricultural Marketing Service Public reporting burden for .his collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining he data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Agriculture, Clearance Office, OIRM, Room 404-W, Washington, D.C. 20250; and to the Office of Management and Budge: Paperwork Reduction Project (OMB #0581-0055), Washington, 20250.

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE Information is held confidential until certificate is issued (7 U.S.C. 2426). (Instructions on reverse) VARIETY NAME TEMPORARY DESIGNATION OR NAME OF APPLICANT(S) (as it is to appear on the Certificate) EXPERIMENTAL NO. Northrup King Co. S28-01 ADDRESS (street and no. or R.F.D. no., city, state, and ZIP) 5. PHONE (Include area code) FOR OFFICIAL USE ONLY PVPO NUMBER P. O. Box 959 612-593-7333 Minneapolis, MN 55440 6. GENUS AND SPECIES NAME 7. FAMILY NAME (Botanical) N G Glycine max Leguminosae Filing and Examination Fee: 8 CROP KIND NAME (Common Name) DATE OF DETERMINATION E September, 1989 s Sovbean R 10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.) ECE Corporation 12. DATE OF INCORPORATION 11. IF INCORPORATED, GIVE STATE OF INCORPORATION 1976 Delaware 13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS Robert W. Romia Northrup King Co. P. O. Box 959 PHONE (Include area code): 612-593-7305 Minneapolis, MN 55440 14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow INSTRUCTIONS on reverse) Exhibit A. Origin and Breeding History of the Variety Exhibit B, Novelty Statement. Exhibit C, Objective Description of Variety Exhibit D, Additional Description of Variety X Exhibit E, Statement of the Basis of Applicant's Ownership. X Seed Sample (2,500 viable untreated seeds). Date Seed Sample mailed to Plant Variety Protection Office Filing and Examination Fee (\$2,150) made payable to "Treasurer of the United States." g X DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See section 83(a) of the Plant Variety Protection Act.) X NO (If "NO," skip to item 18 below) YES (If "YES." answer items 16 and 17 below) 17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED? DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? CERTIFIED FOUNDATION REGISTERED X NO T YES 18. DID THE APPLICANT(S) PREVIOUSLY FILE FOR PROTECTION OF THE VARIETY IN THE U.S.? Patent Act. Give date. YES (If "YES," through Plant Variety Protection Act 19. HAS THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETED IN THE U.S. OR OTHER COUNTRIES? YES (If "YES," give names of countries and dates) си 🗓 20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable. The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in section 41, and is entitled to protection under the provisions of section 42 of the Plant Variety Protection Act. Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties. DATE CAPACITY OR TITLE SIGNATURE OF APPLICANT [Owner(s)] Vice President Research April 27, 1992 CAPACITY OR TITLE DATE

Origin and Breeding History of the Variety

The soybean variety `S28-01' is derived from a single plant selected from the variety S29-39. The progeny of this plant was grown in a plant row in 1988 and found to be earlier than the parent variety at maturity. This row was harvested individually and the seed increased in 1989. This seed was in turn used to produce Breeder Seed in 1990. The increase block was roqued carefully during flowering and at maturity. In all of these increases, the plants were uniform except for a few rare off-types that were assumed to have arisen from admixture or outcrossing. The line was tested as J828610 at several midwestern U.S. locations from 1989 to 1991 and found to yield well compared to other mid Maturity Group II cultivars. It was further tested as X9127 in 1991 and has subsequently been named S28-01. Descriptive traits including purple flowers, grey pubescence, yellow hilum, and brown pods have been identified and confirmed. S28-01 was tested in the field for iron-deficiency chlorosis at test sites in Northern Iowa and Southern Minnesota from 1989 to 1991 and found to be intermediate compared to varieties of known reaction. It has been tested for reaction to Races 1, 2, 3, 4, 7, and 19 of Phytophthora megasperma using hypocotyl inoculation of greenhouse grown plants and found to have the Rps 1-C gene for resistance

Foundation Seed of S28-01 was produced in 1991. The Iowa Crop Improvement Association inspected the fields and found them to meet the standards for Foundation Seed. The National Soybean Variety Review Board approved the variety for Certification on December 12, 1991.

\$28-01 is a stable and uniform variety except for minor environmentally induced variation normally encountered in any soybean variety. In three years of testing and seed increase, no other variants have been observed. Any off-type plants which were removed from increase fields were assumed to have arisen from admixture or outcrossing.

Varietal purity will be maintained using progeny rows as needed.

EXHIBIT B

Novelty Statement for the Variety

Soybean variety S28-01 is most similar to S29-39. It can be differentiated from S29-39 on the basis of maturity. In two years of testing in 13 environments, the average maturity date for S28-01 was 9/21 compared to 9/24 for S29-39 with an LSD of 1.

Maturity Dates for X9127 and S29-39

1991 Trials

<u>Variety</u>	Ave.	Cha.	Was.	Ott.	Gal.	Aub.	<u>s.J.</u>
S28-01 S29-39 LSD	9/18	9/18 9/19 2	9/07	9/14	9/15	9/26	9/20

1990 Trials

<u>Variety</u>	Ave.	Cha.	Was.	<u>Hud.</u>	Day.	<u>Aub</u>	<u>s.j.</u>	Ken.
S28-01 S29-39 LSD	9/29	10/9	9/25	9/29	9/30	9/26	9/19 9/27 2	

1989 Trials (not included in 13 trials referred to above)

<u>Variety</u>	Ave.	St. Joe.	<u>Washin.</u>	<pre>Dal.Cnt.</pre>
S28-01	9/21	9/17	9/21	9/24
S29-39	9/26	9/23	9/28	9/28
LSD	3	5	3	2

9200171



July 25, 1994

To: Jeff Strachan, PVP Office, fax 310-504-5291

From: John Thorne

Subject: PVP Application for Soybean Variety, \$28-01

I am sending the table from the combined analysis of 1990 and 1991 trials for S28-01 which will support the statement I made in Exhibit B regarding maturity. S28-01 (tested as J828610) matured September 21 vs. S29-39 on September 24 averaged over these 24 locations. The LSD was 1.

Please call if you have any further questions.

18 - 16

Sincerely

John Thorne

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EXHIBIT C (Soybean)

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U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
LIVESTOCK, MEAT, GRAIN & SEED DIVISION
PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MARYLAND 20705

OBJECTIVE DESCRIPTION OF VARIETY SOYBEAN (Glycine max L.)

30782	AN (Glycine max L.)	
NAME OF APPLICANT(S)	TEMPORARY DESIGNATION	VARIETY NAME
Northrup King Co.	J828610, X9127	\$28-01
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Coo	le)	FOR OFFICIAL USE ONLY
P. O. Box 959		PVPO NUMBER
Minneapolis, MN 55440		9200171
Attention R. W. Romig Choose the appropriate response which characterizes the va-	riety in the features described	below. When the number of significant digits
in your answer is fewer than the number of boxes provided,	, place a zero in the first box w	then number is 9 or less (e.g., 0 9).
1. SEED SHAPE:		
2	1 1	
		(L/W ratio > 1.2; L/T ratio = < 1.2)
1 = Spherical (L/W, L/T, and T/W ratios = < 1.2) 3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)		(L/T ratio > 1.2; T/W > 1.2)
2. SEED COAT COLOR: (Mature Seed)		
1 = Yellow 2 = Green 3 = Brown	4 = Black 5 = Other	(Specify)
3. SEED COAT LUSTER: (Mature Hand Shelled Seed)		
1 = Dull ('Corsoy 79'; 'Braxton') 2 = Shiny ('Nebs	oy'; 'Gasoy 17')	
4. SEED SIZE: (Mature Seed)		
1 5 Grams per 100 seeds		
5. HILUM COLOR: (Mature Seed)		
2 1 = Buff 2 = Yellow 3 = Brown	4 = Gray 5 = Imperfect Bla	ack 6 = Black 7 ≈ Other (Specify)
6. COTYLEDON COLOR: (Mature Seed)		
1 = Yellow 2 = Green		
7. SEED PROTEIN PEROXIDASE ACTIVITY:		
1 1 = Low 2 = High		
8. SEED PROTEIN ELECTROPHORETIC BAND:		
1 = Type A (SP1 ^a) 2 = Type B (SP1 ^b)		
9. HYPOCOTYL COLOR:		
1 = Green only ('Evans'; 'Davis') 2 = Green wi 3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71') 4 = Dark Purple extending to unifoliate leaves ('Hodgson'		('Woodworth'; 'Tracy')
10. LEAFLET SHAPE:		
3 1 = Lanceolate 2 = Oval 3 = Ovate	4 = Other (Specify)	

FORM LMGS-470-57 (2-82)

	9200171
	= Small ('Amsoy 71'; 'A5312') 2 = Medium ('Corsoy 79'; 'Gasoy 17') = Large ('Crawford'; 'Tracy')
12. LEAF COL	OR:
	= Light Green ('Weber'; 'York') 2 = Medium Green ('Corsoy 79'; 'Braxton') = Dark Green ('Gnome'; 'Tracy')
13. FLOWER C	OLOR:
2 1	* White 2 = Purple 3 = White with purple throat
14. POD COLO	R:
2 1=	= Tan 2 = Brown 3 = Black
15. PLANT PU	BESCENCE COLOR:
1 1-	Gray 2 = Brown (Tawny)
16. PLANT TY	PES:
	Slender ('Essex'; 'Amsoy 71') Bushy ('Gnome'; 'Govan') 2 = Intermediate ('Amcor'; 'Braxton')
17. PLANT HA	BIT:
	Determinate ('Gnome'; 'Braxton') 2 = Semi-Determinate ('Will') Indeterminate ('Nebsoy'; 'Improved Pelican')
18. MATURITY	CROUP.
10. MATORITI	GROOT.
	000 2 = 00 3 = 0 4 = I 5 = II 6 = III 7 = IV 8 = V VI 10 = VII 11 = VIII 12 = IX 13 = X
9=	
19. DISEASE R	VI 10 = VII 11 = VIII 12 = IX 13 = X EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)
19. DISEASE R	VI 10 = VII 11 = VIII 12 = IX 13 = X EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES:
19. DISEASE R BACTERIA Bac	VI 10 = VII 11 = VIII 12 = IX 13 = X EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES: cterial Pustule (Xanthomonas phaseoli var. sojensis)
19. DISEASE R BACTERIA Bac	VI 10 = VII 11 = VIII 12 = IX 13 = X EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES:
19. DISEASE R BACTERIA Bac	VI 10 = VII 11 = VIII 12 = IX 13 = X EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES: cterial Pustule (Xanthomonas phaseoli var. sojensis)
19. DISEASE R BACTERIA Bac	VI 10 = VII 11 = VIII 12 = IX 13 = X EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES: cterial Pustule (Xanthomonas phaseoli var. sojensis) cterial Blight (Pseudomonas glycinea) dfire (Pseudomonas tabaci)
19. DISEASE R BACTERIA Bac Bac Will FUNGAL D	VI 10 = VII 11 = VIII 12 = IX 13 = X EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES: cterial Pustule (Xanthomonas phaseoli var. sojensis) cterial Blight (Pseudomonas glycinea) dfire (Pseudomonas tabaci)
19. DISEASE R BACTERIA Bac Bac Will FUNGAL D 1 Bro	EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES: cterial Pustule (Xanthomonas phaseoli var. sojensis) cterial Blight (Pseudomonas glycinea) dfire (Pseudomonas tabaci) ISEASES:
19. DISEASE R BACTERIA Bac Bac Will FUNGAL D 1 Bro	EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES: cterial Pustule (Xanthomonas phaseoli var. sojensis) cterial Blight (Pseudomonas glycinea) dfire (Pseudomonas tabaci) ISEASES: own Spot (Septoria glycines) ogeye Leaf Spot (Cercospora sojina)
19. DISEASE R BACTERIA Bac Bac Will FUNGAL D From Rac	EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES: cterial Pustule (Xanthomonas phaseoli var. sojensis) cterial Blight (Pseudomonas glycinea) dfire (Pseudomonas tabaci) ISEASES: own Spot (Septoria glycines) regeye Leaf Spot (Cercospora sojina)
19. DISEASE R BACTERIA Bac Bac Will FUNGAL D From Rac Tar	EACTION: {Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant} AL DISEASES: cterial Pustule (Xanthomonas phaseoli var. sojensis) cterial Blight (Pseudomonas glycinea) dfire (Pseudomonas tabaci) ISEASES: own Spot (Septoria glycines) regeye Leaf Spot (Cercospora sojina) re 1 Race 2 Race 3 Race 4 Race 5 Other (Specify)
BACTERIA Bac Bac Bac Bac Bac Tar Doc	EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES: cterial Pustule (Xanthomonas phaseoli var. sojensis) cterial Blight (Pseudomonas glycinea) dfire (Pseudomonas tabaci) ISEASES: own Spot (Septoria glycines) geye Leaf Spot (Cercospora sojina) ce 1 Race 2 Race 3 Race 4 Race 5 Other (Specify) get Spot (Corynespora cassiicola)
19. DISEASE R BACTERIA Bac Bac Will FUNGAL D Tar Doc Pov	EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) AL DISEASES: sterial Pustule (Xanthomonas phaseoli var. sojensis) sterial Blight (Pseudomonas glycinea) dfire (Pseudomonas tabaci) ISEASES: own Spot (Septoria glycines) geye Leaf Spot (Cercospora sojina) se 1 Race 2 Race 3 Race 4 Race 5 Other (Specify) get Spot (Corynespora cassiicola) wny Mildew (Peronospora trifoliorum var. manshurica)

FORM LMGS-470-57 (2-82)

19. DISEASE	REACTION: {Enter 0 = Not Tested; 1 = Susceptible; 2	Resistant) (Continued)	
FUNG	AL DISEASES: (Continued)	÷	9200171
- 1	Pod and Stem Blight (Diaporthe phaseolorum var; sojae)		
1	Purple Seed Stain (Cercospora kikuchii)		
	Rhizoctonia Root Rot (Rhizoctonia solani)		
	Phytophthora Rot <i>(Phytophthora megasperma var. sojae)</i>		
2	Race 1 2 Race 2 2 Race 3 1	Race 4 Race 5	Race 6 2 Race 7
	Race 8 2 Race 9 Other (Specify)		
VIRAL	DISEASES:		
1	Bud Blight (Tobacco Ringspot Virus)		
\Box	Yellow Mosaic (Bean Yellow Mosaic Virus)		
ī,	Cowpea Mosaic (Cowpea Chlorotic Virus)		
一	Pod Mottle (Bean Pod Mottle Virus)		
	Seed Mottle (Soybean Mosaic Virus)		
لــــا	FODE DISEASES:		
	Soybean Cyst Nematode (Heterodera glycines)		
	Race 1 Race 2 1 Race 3 1	Race 4 Other (Specify)
	ance Nematode (Hoplolaimus Colombus)		
	Southern Root Knot Nematode (Meloidogyne incognita)		
	Northern Root Knot Nematode (Meloidogyne Hapla)		
<u> </u>	Peanut Root Knot Nematode (Meloidogyne arenaria)		
	Reniform Nematode (Rotylenchulus reniformis)		
	OTHER DISEASE NOT ON FORM (Specify):		
	,		
20. PHYSIOLO	OGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susce	ptible; 2 = Resistant)	
1 1	ron Chlorosis on Calcareous Soil	.	
	ther (Specify)		
21. INSECT R	EACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = F	Resistant)	
M	Mexican Bean Beetle (Epilachna varivestis)		
Pe	otato Leaf Hopper (Empoasca fabae)		
o	ther (Specify)		
22. INDICATE	WHICH VARIETY MOST CLOSELY RESEMBLES TH	AT SUBMITTED.	
CHARAC	CTER NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	s29-39	Seed Coat Luster	S29-39
Leaf Shape	S29-39	Seed Size	S29-39
Leaf Color	529-39	Seed Shape	S29-39
Leaf Size	S29-39	Seedling Pigmentation	S29-39

23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS	PLANT LODGING	CM PLANT	LEAFL	ET SIZE	SEED CO	NTENT	SEED SIZE	NO.
	MATURITY	SCORE	HEIGHT	CM Width	CM Length	% Protein	% Oil	SEEDS	SEEDS/ POD
Submitted	128	2.0	76	6.1	10.7	37.8	23.5	15.2	2-4
S29-39 Name of Similar Variety	131	2.2	81	6.1	10.6	38.0	23.5	16.5	2-4

PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

- 1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
- 2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
- 3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A2 in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
- 4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

EXHIBIT E

Statement of the Basis of Applicant's Ownership

Soybean variety S28-01 was developed by the Northrup King Co. soybean breeding staff from germplasm sources cited in Exhibit B of this application. Northrup King Co. believes that the variety is novel as defined in the Plant Variety Protection Act and, therefore, that Northrup King Co. is the sole owner of the variety.